

PATENT
P6202
0045.0018

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
Mark A. Carlson, et al.) Examiner: Not Yet Assigned
Serial No.: 09/928,128)
Filed: August 10, 2001) Art Unit: 2152
For: METHOD, SYSTEM, AND PROGRAM FOR)
GENERATING AND USING CONFIGURATION)
POLICIES)

**TRANSMITTAL OF PETITION TO CORRECT OMITTED ITEM(S) IN A NONPROVISIONAL
APPLICATION FILED UNDER 37 CFR 1.53(b)**

Box MISSING PARTS
Asst. Commissioner for Patents
Washington, D.C. 20231

Dear Sirs:

Enclosed herewith is a Petition for the above-identified application, per the Patent Office Notice of Omitted Item(s) in a Nonprovisional Application dated September 18, 2001 (copy enclosed). Also enclosed please find a check in the amount of \$130 in payment of the Surcharge Fee.

Please charge any additional fees, or credit any overpayments, to Deposit Account No.

05-5085. A duplicate of this petition is enclosed.

500585

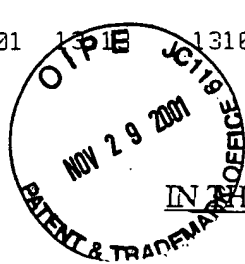
Respectfully submitted,

David W. Victor
Reg. No. 39,867
KONRAD RAYNES VICTOR & MANN LLP
315 S. Beverly Dr. #210
Beverly Hills, CA 90212
(310) 556-7983

Dated: November 13, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed: Assistant Commissioner for Patents, Washington, D.C. 20231, on November 13, 2001.

David W. Victor
Date 11/13/01



#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Mark A. Carlson, et al.	Examiner:	Not Yet Assigned
Serial No.:	09/928,128	Group/Art Unit:	2152
Filed:	August 10, 2001	Docket No.:	P6202
TITLE:	METHOD, SYSTEM, AND PROGRAM FOR GENERATING AND USING CONFIGURATION POLICIES		

PETITION TO CORRECT OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(b)

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This Petition is submitted in response to a Notice of Omitted Items in a Nonprovisional Application dated September 18, 2001 received in the above case. Applicants inadvertently made reference to Fig. 14 in the description of the drawings. There is no Fig. 14, and no further reference of Fig. 14 is made in the subsequent specification. Therefore, please substitute the below description of the drawings.

Amendments to the Description of the Drawings is shown in the attached "Version to Show Markings of Changes Made" submitted pursuant to 37 CFR 1.121.

IN THE SPECIFICATION:

Please substitute paragraph 0015 on page 5 of the Application with the following paragraph 0015:

[0015] Referring now to the drawings in which like reference numbers represent corresponding parts throughout:

FIG. 1 illustrates a network computing environment for one implementation of the invention;

FIG. 2 illustrates a component architecture in accordance with certain implementations of the invention;

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Docket No. P6202
Firm No. 0045.0018

~~FIG. 3 illustrates a component architecture for a storage network in accordance~~
with certain implementations of the invention;

FIG. 4 illustrates logic to invoke a configuration operation in accordance with certain implementations of the invention;

FIG. 5 illustrates logic to configure network components in accordance with certain implementations of the invention;

FIG. 6 illustrates further components within the administrator to define and execute configuration policies in accordance with certain implementations of the invention;

FIGs. 7-8 illustrate GUI panels through which a user invokes a configuration policy to configure and allocate resources to provide storage space in accordance with certain implementations of the invention;

FIGs. 9-10 illustrate logic implemented in the configuration policy tool to enable a user to invoke and use a defined configuration policy to allocate and configure system resources in accordance with certain implementations of the invention;

FIGs. 11-12 illustrate graphical user interface (GUI) panels through which a user may define a configuration policy in accordance with certain implementations of the invention; and

FIG. 13 illustrates logic implemented in a configuration policy tool to enable a user to create and define a configuration policy.

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Docket No. P6202
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Conclusion

For all the above reasons, Applicant submits that the application is now in condition for consideration. Applicants submits the \$130 petition fee herewith. Should any additional fees be required, please charge Deposit Account No. 05-5080.

The attorney of record invites the Examiner to contact him at (310) 553-7977 if the Examiner believes such contact would advance the prosecution of the case.

Dated: November 13, 2001

By 

David W. Victor
Reg. No.: 39,867

Please direct all correspondences to:

David Victor
Konrad Raynes Victor & Mann LLP
315 South Beverly Drive, Ste. 210
Beverly Hills, CA 90212
Tel: 310-553-7977
Fax: 310-556-7984

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed: Assistant Commissioner for Patents, Washington, D.C. 20231, on November 13, 2001.


David W. Victor

11/13/01
Date

-4-

Serial No. 09/928,128

Docket No. P6202

Firm No. 0045.0018

VERSION WITH MARKINGS TO SHOW CHANGES MADE

IN THE SPECIFICATION:

Paragraph 0015 on page 5 of the Application is amended as follows:

[0015] Referring now to the drawings in which like reference numbers represent corresponding parts throughout:

FIG. 1 illustrates a network computing environment for one implementation of the invention;

FIG. 2 illustrates a component architecture in accordance with certain implementations of the invention;

FIG. 3 illustrates a component architecture for a storage network in accordance with certain implementations of the invention;

FIG. 4 illustrates logic to invoke a configuration operation in accordance with certain implementations of the invention; [and]

FIG. 5 illustrates logic to configure network components in accordance with certain implementations of the invention;[.]

FIG. 6 illustrates further components within the administrator to define and execute configuration policies in accordance with certain implementations of the invention;

FIGs. 7-8 illustrate GUI panels through which a user invokes a configuration policy to configure and allocate resources to provide storage space in accordance with certain implementations of the invention; [and]

FIGs. 9-10 illustrate logic implemented in the configuration policy tool to enable a user to invoke and use a defined configuration policy to allocate and configure system resources in accordance with certain implementations of the invention;



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/928,128	08/10/2001	Mark A. Carlson	P6202

CONFIRMATION NO. 6459

FORMALITIES LETTER



OC000000006571750

David W. Victor
KONRAD RAYNES & VICTOR LLP
315 S. Beverly Drive, Suite 210
Beverly Hills, CA 90212

Date Mailed: 09/18/2001

NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

12/29/2001 09:00AM 03000085 500585 09928128

01 73.130 130.00 CH

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) Fig. 14 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

**KONRAD RAYNES VICTOR & MANN LLP**315 S. Beverly Drive, Suite 210
Beverly Hills, California 90212Telephone: (310) 556-7983
Facsimile: (310) 556-7984

See 2152 \$

FAX COVER SHEET**PLEASE DELIVER THIS FACSIMILE
FOR PATENT APPS WITH EXAMINERS NOT YET
ASSIGNED**

TO: Assistant Commissioner for
Patents
Examiner Not Yet Assigned
Group Art Unit 2152
Patent Examining Corps
Facsimile Center
Washington, D.C. 20231

FROM: Happy Song
C/O David W. Victor

OUR REF: 0045.0018
TELEPHONE: 310-553-7977

Total pages, including cover letter: 10

PTO FAX NUMBER 1-703-308-7751

If you do NOT receive all of the pages, please telephone us at 310/556-7983, or fax us at 310/556-7984.

Title of Document Transmitted: Petition to Correct Omitted Item(s) in a NonProvisional Application

Applicant: M.A. Carlson et al.
Serial No.: 09/928,128
Filed: August 10, 2001
Group Art Unit: 2152
Docket No.: P6202

The PTO did not receive the following
listed item(s) Check \$130.00

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on
November 29, 2001

By: Happy Song
Name: Happy Song

*The original was mailed on November 13, 2001 (not Express Mail). A confirmation postcard was never received. Please see a copy of the postcard.

S.N. 09/928,128 Docket No. P6202 Date Mailed 11/13/01 By: DWV/pm

Title: METHOD, SYSTEM, AND PROGRAM FOR GENERATING AND USING CONFIGURATION POLICIES

Client: Sun Microsystems, Inc.

0045.0018

Receipt is hereby acknowledged for the following received in the Patent & Trademark Office on the date stamped hereon:

- ☒ Petition to Correct Omitted Item(s) in a Nonprovisional Application
- ☒ Notice of Omitted Item(s) in a Nonprovisional Application
- ☒ Check No. 13881, Amt. \$130
- ☒ Return Postcard

KONRAD RAYNES VICTOR & MANN LLP
315 S BEVERLY DR STE 210
BEVERLY HILLS, CA 90212-4310
(310) 556-7983

CALIFORNIA FEDERAL BANK, FSB
1180 S BEVERLY DR.
LOS ANGELES, CA 90035
90-71773222 743

13881

11/13/2001

TO THE
ORDER OF Assistant Commissioner for Patents

\$ **130.00

One Hundred Thirty and 00/100*****

U.S. Patent & Trademark Office
Washington, D.C. 20231

DOLLARS: 

45.18 petition fee

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